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## DESIGN AND EVALUATION OF COLLOIDAL CARRIER SYSTEM FOR ORAL DELIVERY OF ENZYME

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**ABSTRACT:** The purpose of this work is to evaluate the possibility of enzyme therapy through microencapsulation of serratiopeptidase (SP) in biodegradable nanoparticles of chitosan (CS). This drug has short biological half-life and thus frequent administration makes it a suitable candidate for controlled release. In this study, serratiopeptidase loaded chitosan nanoparticles were prepared by ionotropic gelation of CS with tripolyphosphate (TPP) anions. Reversible physical cross-linking by electrostatic interaction, instead of chemical cross-linking, has been applied to avoid the possible toxicity of reagents and other undesirable effects. The enzyme loaded particles optimized formulation was coated with sodium alginate solution to protect its release in stomach. The enzyme loaded nanoparticle formulations were characterized for by morphology, particle size, encapsulation efficiency and *in-vitro* drug release. The preliminary studies show that TPP and CS were compatible with SP. The ratio of CS to TPP has an influence on the mean particle size and when CS: TPP is 4:1 nanoparticles with smallest diameter are formed. Entrapment efficiency depends on the degree of deacetylation of chitosan. The formulation F-3.3 showed 75.22 % *In-vitro* drug release at 24 hours in PBS at pH7.4 and only 16.03% at 2 hr in SGF at pH 1.2. It is inferred that dissociation of the associated macromolecule from chitosan predominantly governs the release process. This dissociation is in turn, affected by the intensity of the interactions and the ionic strength of the release medium.

**INTRODUCTION:** Proteins and peptides have long been considered as therapeutic modalities to combat human diseases ever since the commercial introduction of insulin, thyroid hormones, and coagulation Factor VIII in the early and mid-1900s.

With advances in recombinant DNA technology and solid-phase synthesis, public interest in protein and peptide therapeutics has greatly increased over the years. Thus far, more than 200 proteins and peptides have received US Food and Drug Administration (FDA) approval for treating a variety of human diseases. While modern genomic and proteomic technology enables rapid screening of novel proteins and peptides as potential drug candidates, design of delivery systems for these biologics remains challenging especially to achieve site-specific pharmacological actions<sup>1</sup>.

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Serrapeptase, also known as Serratia peptidase, is a proteolytic enzyme isolated from the non-pathogenic enterobacteria *Serratia* E15. Serratiopeptidase has proven to be effective in improving circulation, speeding tissue repair, alleviating joint discomfort, supporting cardiovascular health, relieving respiratory complaints and having anti-edema qualities<sup>2</sup>. There are three ways Serratiopeptidase is believed to act

- 1) Through the elimination of inflammatory mediators,
- 2) Through the acceleration of liquefaction of pus and sputum, and
- 3) Through the enhancement of the action of antibiotics<sup>3</sup>.

Clinical studies show that serrapeptase induces fibrinolytic, anti-inflammatory and anti-edemic (prevents swelling and fluid retention) activity in a number of tissues, and that its anti-inflammatory effects are superior to other proteolytic enzymes<sup>4</sup>. Serratiopeptidase consumed in unprotected tablets or capsules, the enzyme is destroyed by acid in the stomach.

Many attempts have addressed these problems by chemical modifications or by coadministration of adjuvants to eliminate undesirable properties of peptide and protein drugs such as chemical and enzymatic instability, poor absorption through biological membranes, rapid plasma clearance and immunogenicity<sup>5</sup>.

Colloidal polymer particles include nanoparticles, nanocapsules, microspheres and microcapsules. Nanoparticles and microspheres are monolithic devices, with a rate-controlling polymer matrix, throughout which drug is dissolved or dispersed<sup>6</sup>.

Particulate systems like nanoparticles have been used as a physical approach to alter and improve the pharmacokinetic and pharmacodynamic properties of various types of drug molecules. They have been used *in vivo* to protect the drug entity in the systemic circulation, restrict access of the drug to the chosen sites and to deliver the drug at a controlled and sustained rate to the site of action. Various polymers have been used in the formulation of nanoparticles for drug delivery

research to increase therapeutic benefit, while minimizing side effects<sup>7,8</sup>.

The concept of incorporating a drug into a polymeric or macromolecular particulate carrier was introduced by the pharmaceutical scientist as a means to modify the physicochemical and biological properties of entrapped drug.

Carriers are basically, composed of polymeric particles in the nanometer size range, the surface of which is covered by a layer of poly (alkane oxide) can bypass the normal physiological defense processes occurring after *IV* injections of particulates. The choice of carrier depends on several factors, including the nature of the protein to be delivered, the device for delivery, the site of action the disease state, and the nature and safety of the carrier<sup>9</sup>.

Chitosan is able to open epithelial tight junctions to allow for an increase in paracellular transport of macromolecular drugs. Chitosan, however, suffers from low solubility at a physiological pH of 7.4, limiting its use as absorption enhancer in, for example, nasal or peroral delivery systems. To overcome this problem, a number of cationic or anionic chitosan derivatives have been synthesized and tested by covalent attachment of enzyme inhibitors to the chitosan backbone, enzyme degradation of the drug to be delivered might be prevented<sup>10</sup>.

Chitosan and chitosan derivatives easily form micro- and nanoparticles, which are being investigated as delivery systems for vaccines in mucosal immunization studies, and plasmid DNA in non-viral gene therapy including radiopharmaceuticals. Trimethylchitosan chloride, at different degrees of quaternization, increases the permeation and or/absorption of neutral and cationic peptide analogs across intestinal epithelia<sup>11</sup>. Chitosan when protonated (pH<6.5), is able to increase the paracellular permeability of peptide drugs across mucosal epithelia.

**MATERIALS AND METHOD:** Serratiopeptidase enzyme was received from Biocon India Limited, Bangalore and Polymer, Chitosan from Central Institute of Fisheries Technology, Cochin as a generous gift sample.

Glacial acetic acid, Tripolyphosphate (TPP), Sodium alginate and all other chemicals used were of analytical grade.

**Preformulation Studies:** The following preformulation studies were carried out to determine the inherent stability of the molecule (drug substance) and to identify the key problems that are likely to be encountered in a development of a stable formulation.

**Bicinchoninic acid (BCA) assay:** The Bicinchoninic acid (BCA) assay of protein is the most widely used method. Serratiopeptidase was estimated by this method in the form of protein. The assay is based on the following reactions:-

$$\text{Protein (peptide bonds)} + \text{Cu}^{+2} \rightarrow \text{Tetradentate-Cu}^{+1} \text{ complex}$$

$$\text{Cu}^{+1} \text{ complex} + \text{Bicinchoninic acid (BCA)} \rightarrow \text{BCA-Cu}^{+1} \text{ complex}$$

The purple color of BCA-Cu<sup>+1</sup> complex is read at 562nm. This method was used in the determination of protein content in various formulations. The interference of the additives in the estimation of the drug was determined.

**Solubility Profile:** Serratiopeptidase was tested for its solubility in various common solvents as stated in table. A definite quantity (10mg) of the drug was taken in 10.0ml of each solvent at room temperature in a tightly closed test tube and test tube were clamped in wrist action shaker for 24hrs. The tubes were observed visually for the presence of insoluble particles of the drug.

**Drug Partitioned Studies:** The partition of serratiopeptidase was determined in n-Octanol: PBS (pH 7.4). Accurately weighed drug (20mg) was transferred into a glass stoppered test tubes containing 10ml of n-Octanol and 10ml of PBS (pH7.4). The mixture was shaken on a wrist action shaker for 4 hrs. Both the phases were separated using separating funnel and drug concentration in both phases was determined at 562 nm by using UV-Visible spectrophotometer against respective blank.

**H<sup>1</sup>NMR studies:** An H<sup>1</sup>NMR spectrum of chitosan was measured in D<sub>2</sub>O containing small amount of

CD<sub>3</sub>COOD using a Bruker Avance II 400 NMR spectrometer to measure the degree of deacetylation.

**Preparation of Nanoparticulate Chitosan-Tpp Complexes:** Nanoparticulate chitosan-TPP complexes were prepared by Ionic gelation technique. The acidic phase of pH 4-6 containing 0.25% w/v chitosan in 1% aqueous acetic acid and alkaline phase pH 7-9 containing 0.1% TPP was prepared in Millipore. Nanoparticles are formed immediately upon drop-wise addition of alkaline phase in to acidic phase at room temperature under continuous agitation at 1000 rpm for 1 hour.

Thus, obtaining inter and intramolecular linkages created between TPP phosphates and chitosan amino groups<sup>12</sup>. The 1:1 ratio of drug and polymer was used. Nanoparticles with varying characteristic can be obtained with different concentrations of chitosan and TPP, as well as by changing the relative volumes of the acidic and alkaline solutions. In order to produce maximum drug entrapment, high yield of stable and solid nanometric structures, the Chitosan: TPP weight ratio should normally be within the range of 3:1, 6:1<sup>13</sup>.

**Sodium alginate coating:** Alginate coated nanoparticles were obtained by mixing of equal volumes of nanoparticles suspension and a buffer phosphate solution of sodium alginate (1% w/v) under magnetic stirring. The suspension was then centrifuged for 10 minutes at 1600 rpm and the supernatant was discarded. The particles were resuspended in 0.524mM CaCl<sub>2</sub> in 50mM HEPES buffer solution and kept under agitation for another 10 minutes<sup>14</sup>.

**Optimization of formulation and process variables:** Based on earlier reports and works, some of the process variables like pH of TPP solution, stirring time, temperature, and stirring speed were kept constant. After considering all process variables, optimized formulation was used for further studies.

#### Characterization of Nanoparticles:

1. **Particle size and Zeta potential:** The particle size and zeta potential of the nanoparticles were analyzed by photon correction spectroscopy

and Laser Doppler anemometry, respectively using a Zetasizer® (Malvern Instruments, UK) for determining the electrophoretic mobility, samples were diluted with kcl 0.1 mol/l and placed in the electrophoretic cell where a potential of  $\pm 150\text{mV}$  was established. Each batch was analyzed in triplicate.

- 2. Entrapment efficiency:** Encapsulation efficiency of the enzyme loaded nanoparticles was carried out by BCA protein assay. 50 mg of lyophilized nanoparticles were weighed and taken in 10ml volumetric flask subsequently dissolved in 5 ml of phosphate buffer (pH 7.4). After suitable dilution the total protein was determined and percent of Entrapment efficiency was calculated<sup>15</sup>.

$$\text{Entrapment efficiency} = \frac{\text{Drug content of Nanoparticles}}{\text{Initial drug loading}} \times 100$$

- 3. Drug content:** Twenty milligrams of the dried microspheres were accurately weighed. They were added to 5 mL of ethanol. After the microspheres dissolved completely, 5 mL of phosphate buffer (pH 7.4) was added to this solution and mixed thoroughly. The resulting solution was filtered using a Whatman filter (0.45- $\mu\text{m}$  pore size) and analyzed for drug content by using BCA assay, previously discussed method<sup>11</sup>.
- 4. In-vitro drug release:** *In-vitro* release studies were performed for pure drug and selected optimized formulations by suspending 100mg of accurately weighed nanoparticles powder in 100ml of phosphate saline buffered (PBS pH7.4). The nanosuspension was stirred continuously at 37°C on a hot plate. At pre-selected times samples were withdrawn and centrifuged at 7000 rpm for 15 minutes and supernatant was collected. The equal volume of medium was replaced immediately after the withdrawal. Samples were analyzed for enzyme by protein content using BCA assay, previously discussed method. The dissolution profile of best formulation was compared with marketed formulation.
- 5. FTIR studies:** Infra-red spectrum of drug, polymer and formulation were recorded by using Perkin Elmer FTIR by KBr disc method.

200mg of KBr and 100mg of sample were mixed well in ceramic mortar. The powder was pressed in KBr hydraulic press to obtain the pellet. The peaks were assigned for characteristic groups.

- 6. Scanning Electron Microscopy (SEM):** Morphological examination of the nanoparticles was performed by scanning electron microscopy (SEM). A drop of the CS nanoparticles suspension was placed on a gold disk. After air drying, the dried nanoparticles were coated with gold in a gold-sputter device (VG-Microtech, UK) and studied with a Cambridge stereoscan S120 scanning electron microscope (Cambridge, UK) operated at an acceleration voltage of 10 kv.

## RESULTS AND DISCUSSION:

**Preformulation Studies:** The absorption maximum ( $\lambda_{\text{max}}$ ) of serratiopeptidase was found to be 562 nm. Bicinchoninic acid (BCA) assay obeys Beer's law in the concentration range 2-20 $\mu\text{g/ml}$ , with a correlation coefficient near to unity. The standard curve of serratiopeptidase was prepared in PBS (pH7.4). None of the additives was found to possess same  $\lambda_{\text{max}}$  as that of drug and hence no interference of the additives in absorbance was found at 562nm.

Solubility of the drug was determined in various solvents at room temperature. It was observed that the drug was soluble in methanol and ethanol (semi polar solvents), freely soluble in aqueous solvents (distilled water, PBS pH7.4). The drug was insoluble in the organic solvents. The partition of serratiopeptidase in n-Octanol: PBS (pH 7.4) was found as 0.596.

An H<sup>1</sup>NMR spectrum of chitosan is evidence of acetylation and is supported by integral of the peak corresponding to acetyl groups observed at 1.8-1.9 ppm (**Figure 2**). The degree of deacetylation is in the range of 85%-90%. The degree of deacetylation is an important variable in the particles formation.

**Preparation & Characterization of Nanoparticles:** The association of oligonucleotides was found to be more efficient when the nanoparticles were formed by ionic gelation with CS and TPP.



The formation of chitosan nanoparticles is governed not only by electrostatic interactions between the oligonucleotides and chitosan, but also between TPP and chitosan, because of this controlled gelation process, the resulting nanoparticles are more spherical and compact than those obtained by simple complexation or

aggregation. Upon mixing of positively charged CS and negatively charged TPP solutions resulted in formation of ionic linkages. These linkages could make the macromolecular chains of CS rolling up, which was responsible for the formation of the gelation of the CS solution.

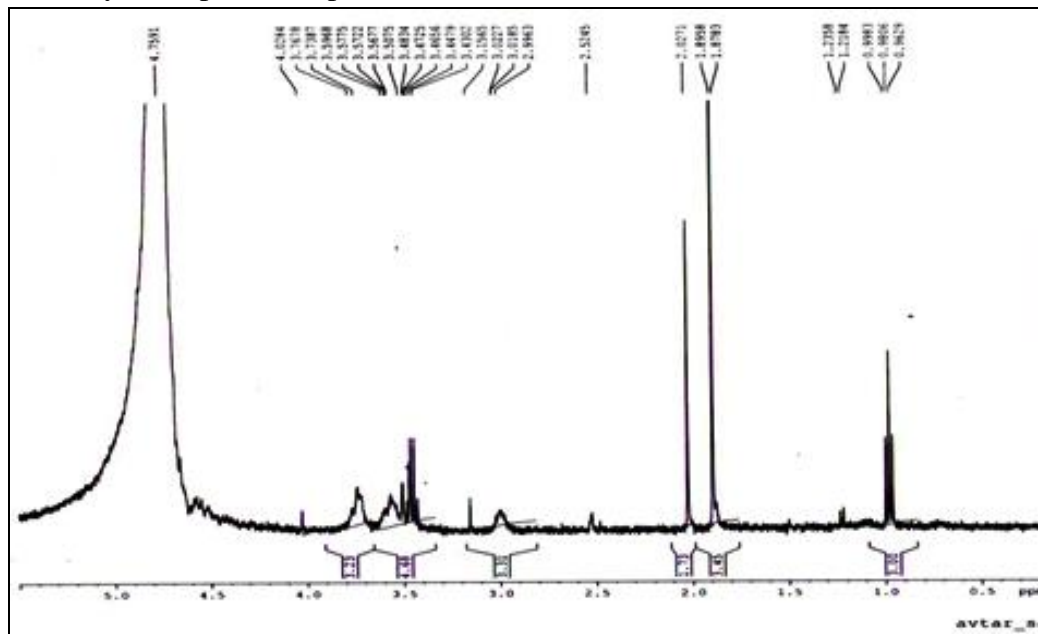


FIGURE 2: SHOWING  $^1\text{H}$  NMR SPECTRA OF CHITOSAN

**Particle size and Zeta potential:** From the results of particle size and zeta potential listed in table 1 & 2 the nanoparticles thus obtained were in the size range of 200-800nm. The diameter distribution of the nanoparticles is smallest when CS: TPP= 4:1. This result suggested that the ratio of CS to TPP have an influence on the mean particle size. The surfaces of CS-TPP nanoparticles have positive charges of about +48.6 to +18.1mV, because of the cationic characteristic of CS (prior to alginate coating). However, it is interesting to find that as the ratio of CS-TPP increases, the zeta potential also increases.

These results indicate that the surface structure and the surface charge of these nanoparticles can be adjusted by different preparation processes.

**Entrapment efficiency & Drug content:** Entrapment efficiency of the nanoparticles was found to be in the range of 42-67%. Entrapment efficiency of particles depends on the degree of deacetylation of chitosan. Formulations of F3.5 and F3.6 were having aggregates, this may be because of pH of chitosan solution and polydispersity index was also higher compared to first three formulations of F3.1, F3.2 and F3.3. The results as shown in **table 1 and 2**.

TABLE 1: INFLUENCE OF POLY ELECTROLYTES RATIO ON NANOPARTICLES PROPERTIES (MEAN  $\pm$  SD, N=3)

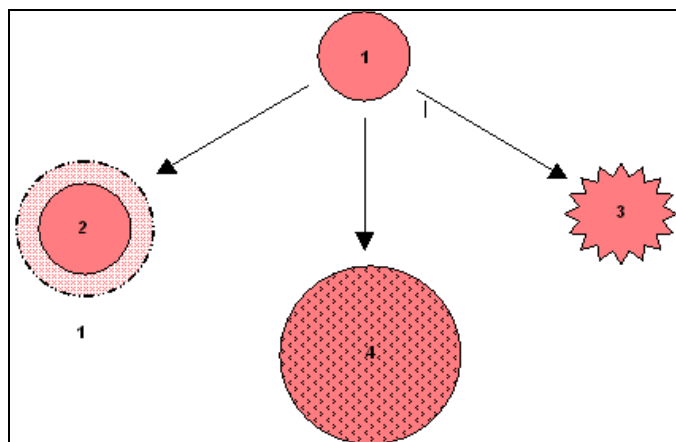
Formulation code	Ratio of CS : TPP	Average Particle Size in (nm)	Zeta potential	Poly dispersity index (PDI)	Entrapment efficiency in %
F1	3.0 : 1.0	365 $\pm$ 48	+27.31 $\pm$ 0.98	0.52 $\pm$ 0.05	42.7 $\pm$ 1.8
F2	3.5 : 1.0	382 $\pm$ 65	+32.56 $\pm$ 2.10	0.68 $\pm$ 0.07	46.4 $\pm$ 2.1
<b>F3</b>	<b>4.0 : 1.0</b>	<b>454<math>\pm</math>19</b>	<b>+38.42<math>\pm</math>1.21</b>	<b>0.35<math>\pm</math>0.04</b>	<b>58.17<math>\pm</math>1.3</b>
F4	4.5 : 1.0	479 $\pm$ 32	+40.71 $\pm$ 0.69	0.50 $\pm$ 0.06	51.85 $\pm$ 2.2
F5	5.0 : 1.0	665 $\pm$ 5	+39.8 $\pm$ 2.0	0.67 $\pm$ 0.09	48.7 $\pm$ 1.5
F6	5.5 : 1.0	807 $\pm$ 1	+48.6 $\pm$ 1.3	0.95 $\pm$ 0.08	54.4 $\pm$ 2.3

**TABLE 2: INFLUENCE OF pH OF CHITOSAN SOLUTION**

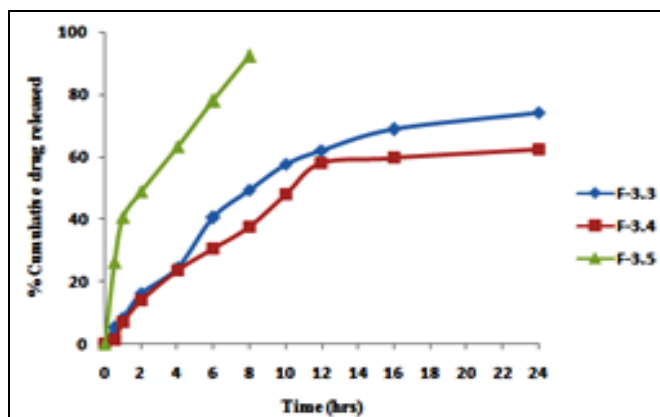
Formulation code	pH of Chitosan solution	Average particle size in (nm)	Zeta potential	Poly dispersity index (PDI)	Entrapment efficiency in %
F3.1	4.0	323±51	+25.3±3.2	0.23±0.03	38.4±2.4
F3.2	4.2	431±15	+27.1±2.8	0.24±0.02	50.85±2.1
<b>F3.3</b>	<b>4.5</b>	<b>269±34</b>	<b>+29.9±3.6</b>	<b>0.16±0.01</b>	<b>67.42±1.3</b>
F3.4	5.0	539±7	+22.6±3.9	0.32±0.04	61.17±1.5
F3.5	5.2	688±38	+18.1±3.5	0.35±0.03	49.8±1.8
F3.6	5.5	821±29	+19.5±3.1	0.52±0.05	52.4±2.4

**In vitro drug release:** The initial release of drug within 2 hours from the formulations F3.3, F3.4, and F3.5 at pH 1.2 were 16.03, 13.8 and 48.8% respectively. In case of release from the surface, adsorbed drug instantaneously dissolves when it comes in contact with the release medium. This type of drug release is mainly because of burst effect. Increasing the cross-linking density can prevent the burst release. However, at a pH 7.4, the nanoparticles are swollen to a great extent, resulting in a fairly fast release of serratiopeptidase compared with the release at pH 1.2. These results suggest the possibility to adjust the drug release of CS-TPP nanoparticles by changing the pH values.

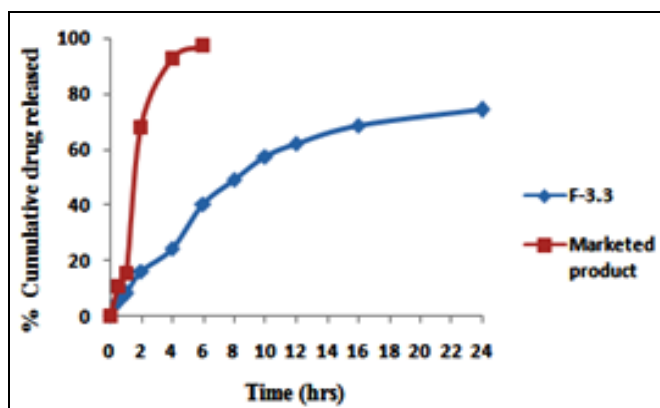
The *in-vitro* release studies of serratiopeptidase pure and at different pH conditions are shown in **figure 1**. Pure drug showed release of 98.08% within 20 minutes whereas formulation F 3.3 showed 75.22 % at 24 hours in PBS at pH7.4 and only 16.03% at 2 hr in SGF at pH 1.2. The dissolution profile of optimized batch F3.3 was compared with marketed formulation which showed 68.07% at 2 hr in SGF at pH 1.2 and 97.24% at 6 hours in PBS at pH7.4. The results are represented in **figure 3 & 4**.



**FIGURE 1: MECHANISM OF DRUG RELEASE FROM PARTICULATE SYSTEMS. 1. NANOPARTICLE 2. RELEASE FROM THE SURFACE 3. RELEASE DUE TO EROSION 4. DIFFUSION FROM THE SWOLLEN MATRIX**



**FIG. 3: CUMULATIVE DRUG RELEASE FROM SELECTED FORMULATIONS (F-3.3, F-3.4 & F-3.5)**



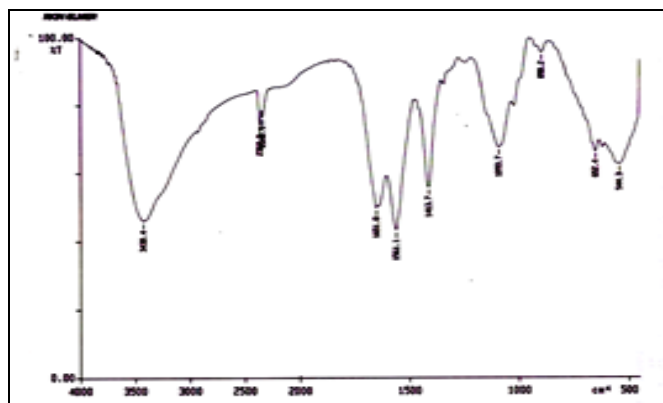
**FIG. 4: COMPARISON OF *IN-VITRO* DRUG RELEASE FROM MARKETED PRODUCT AND F-3.3**

On comparison of the release profile of the pure drug and formulation in different pH conditions, it was found that the release from F3.3 was found to be slow and constant whereas the release from free drug solution was within a very short period of 20 minutes. This was because of the cross linking agent, polymer in which drug is entrapped and physicochemical properties.

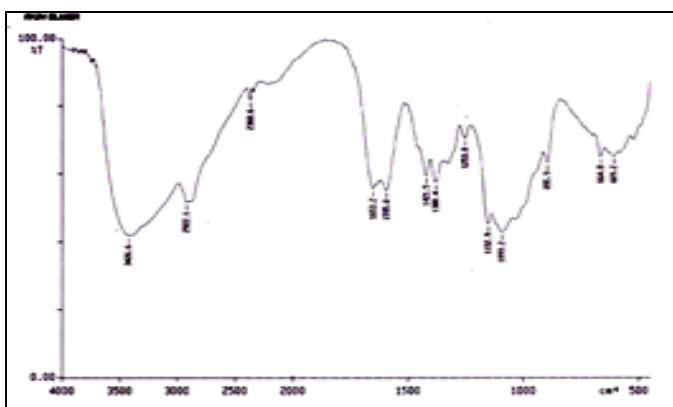
The dissociation of the associated macromolecule from chitosan predominantly governs the release process. This dissociation is in turn, affected by the intensity of the interactions and the ionic strength of the release medium.

**FTIR and SEM studies:** To investigate the complex formation between TPP and chitosan, FTIR studies were conducted (**figure 5(a) & (b)**). The intensities of amide band I at  $1653\text{cm}^{-1}$  and amide band II at  $1544\text{cm}^{-1}$  which can be observed clearly in pure chitosan, decrease dramatically. Nanoparticles spectrum presents a very similar aspect compared with SP-loaded nanoparticles and SP free of CS/TPP nanoparticles spectrum.

However, an increase of the peak intensity at  $1651$  and  $1561\text{cm}^{-1}$  can be understood as the presence of SP. Characteristic band of chitosan at  $1595\text{cm}^{-1}$  corresponding to the  $\text{NH}_2$  scissoring vibration of primary amino group, was not present. This event was probably due to the formation in acidic medium of chitosan ionized form and to the ionic interaction of the ammonia groups of chitosan with the phosphate groups of TPP.



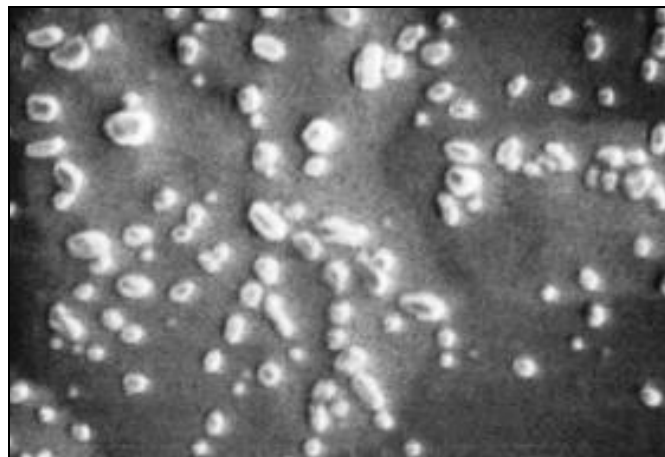
5A



5B

**FIGURE 5(A) & (B): FTIR SPECTRA OF PURE CHITOSAN AND DRUG LOADED CS NANOPARTICLES**

The scanning electron microscopy confirm the spherical nature of the nanoparticles. The most particles with rounded surface morphology reveal the spherical nature of nanoparticles. **Figure 6** represents their intact morphology.



**FIGURE 6: SEM OF FORMULATION F3.3 NANOPARTICLES**

After sodium alginate coating, investigations from FTIR, and Zeta potential (reversal of charge) revealed that a membrane of sodium alginate was formed on the surfaces of the CS-TPP core through a combination of ionic, hydrophobic interactions and hydrogen bonding.

**CONCLUSION:** The serratiopeptidase peptidase formulation developed in this study showed some potential for controlled delivery of serratiopeptidase and hence, improved patient compliance. However, it would be necessary to undertake further studies, including bio availability and in animal models with a view to determine the potential of serratiopeptidase *in vitro* and *in vivo* correlations.

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